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† ADMITTED ONLY IN CALIFORNIA

PATENT APPLICATION

Docket No. 15436.249.42.2

PATENTS

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UNFAIR COMPETITION

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450



TRANSMITTAL FOR PATENT APPLICATION

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a United States patent application entitled INTEGRATED OPTICAL TRANSCEIVER ARRAY in the name of the following inventor(s):

Inventor: Gary Dean Sasser
Citizenship: United States of America
Address: 1510 McDaniel Avenue
San Jose, California 95126

Inventor: Chris Kiyoshi Togami
Citizenship: United States of America
Address: 6643 Crystal Springs Drive
San Jose, California 95120

Enclosed are the following:

X A specification, claims, abstract, and cover page in total comprising TWENTY-NINE (29) pages.

- ☒ NINE (9) sheets of drawings.
- ☒ Non-publication request requesting that the present application not be published.
- ☐ A joint signature Declaration, Power of Attorney and Petition.
- ☐ An Assignment conveying the invention to FINISAR CORPORATION, including a Form PTO 1595 recordation cover sheet.
- ☒ A Certificate of Mailing by "Express Mail" certifying a filing date by use of Express Mail Label No. EV 203 529 897 US.

The filing fee has been calculated as shown below.

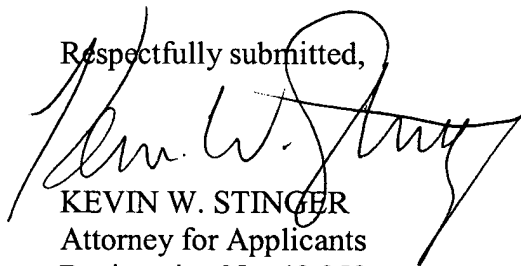
			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$385		\$770
TOT. CLAIMS	28-20=	8	X 9=		X 18=	144
IND. CLAIMS	3-3=	0	X 43		X 86=	
MULTIPLE DEPENDENT CLAIM			+140=		+280=	
			TOTAL		TOTAL	\$914.00

- ☒ Credit Card Payment Form PTO-2038 in the amount of \$914.00 is enclosed to cover the government filing fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.
- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 17th day of November, 2003.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Kevin W. Stinger", written over the typed name.

KEVIN W. STINGER
Attorney for Applicants
Registration No. 48,959
Customer No. 022913

KWS/lrc

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

"Express Mail" Mailing Label No.: EV 203 529 897 US

Date of Deposit: November 17, 2003

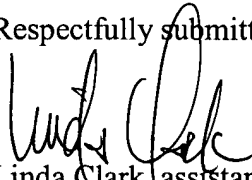
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- Patent Application in the name(s) of Gary Dean Sasser and Chris Kiyoshi Togami for INTEGRATED OPTICAL TRANSCEIVER ARRAY (29 Pages)
- Drawings (9 sheets)
- Non-publication Request (2 pages)
- Transmittal Letter (3 pages) (in duplicate)
- Credit Card Payment Form PTO-2038 in the amount of \$914 (1 page)
- Postcard

Dated this 17th day of November 2003.

Respectfully submitted,



Linda Clark, assistant to
Kevin W. Stinger
Attorney for Applicant
Registration No. 48,959
Customer No. 022913

KWS/lrc

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EXPRESS MAIL LABEL NO. EV 203 529 897 US

PATENT APPLICATION
Docket No.: 15436.249.42.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
)
	Sasser, et al.)
)
Serial No.:	Unknown)
)
Filed:	November 17, 2003)
)
For:	INTEGRATED OPTICAL TRANSCEIVER)
	ARRAY)

NON-PUBLICATION REQUEST

Mail Stop: PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

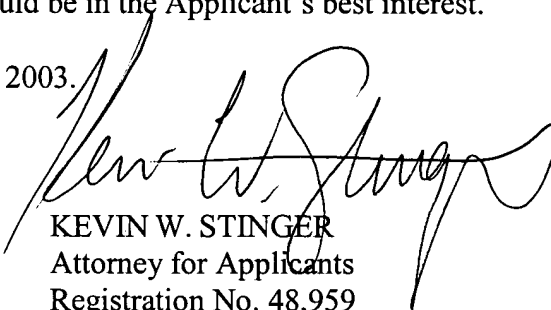
Dear Sir:

The undersigned Applicant hereby makes a "NON-PUBLICATION REQUEST" in accordance with 37 C.F.R. § 1.213(a). As such, the present application is not to be published under 35 USC § 122 (b). Applicant certifies that the application has not been and will not be the subject of an application filed in another country, or under a multi-lateral international agreement, that requires publication of applications eighteens months after filing.

The foregoing certification is predicated upon both objective and subjective factors, any of which the Applicant reserves the right to reconsider at any time in the future for purposes of subjecting the present application to one or more filings in other countries. As such, the Applicant reserves the right under 37 C.F.R. § 1.213(b) to rescind the foregoing "NON-PUBLICATION REQUEST." Moreover, Applicant further reserves the right under 37 C.F.R. §

1.213(c) to subsequently file, in a foreign country or under a multi-lateral international agreement, an application directed to the inventions disclosed in the application filed in the Patent and Trademark Office, should Applicant's reconsideration of said subjective and objective factors deem that such foreign filings would be in the Applicant's best interest.

Dated this 17th day of November, 2003.



KEVIN W. STINGER
Attorney for Applicants
Registration No. 48,959
Customer No. 022913

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LC0000000388V001